

1 **TITLE:** Male circumcision significantly reduces prevalence and load of anaerobic bacteria

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3 **RUNNING TITLE:** Effects of circumcision on coronal sulcus microbiome

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27 **ABSTRACT**

28 Male circumcision reduces female-to-male HIV transmission. Hypothesized mechanisms for this
29 protective effect include decreased HIV target cell recruitment and activation due to changes in the penis
30 microbiome. To quantify the effects of male circumcision, we compared the coronal sulcus microbiota
31 of men from the control (n = 77) and intervention (n = 79) groups at enrollment and year-1 from a
32 randomized-controlled trial in Rakai, Uganda. We characterized microbiota using 16S rRNA gene-based
33 qPCR and pyrosequencing; log response ratio (LRR); Bayesian classification; non-metric
34 multidimensional scaling (nMDS); and permutational multivariate analysis of variance (PerMANOVA).
35 These analyses revealed that circumcision profoundly altered the male genital microbiota. At baseline,
36 men in both groups had comparable coronal sulcus microbiota; however, by year-1, circumcision
37 decreased total bacterial loads and produced less diverse microbiota with fewer dominant taxa. This loss
38 in biodiversity was characterized by reduced prevalence and absolute abundance of 12 anaerobic
39 bacterial taxa. Although circumcision also increased the aerobic bacterial taxa, these changes were
40 minor compared to the decreases in anaerobes. Characterizing microbial communities in terms of
41 absolute abundance revealed important changes that would have been obscured by a simple relative
42 abundance approach.

43

44 **IMPORTANCE**

45 The bacterial changes identified in this study may play an important functional role in the HIV-risk
46 reduction conferred by male circumcision. For example, decreasing the load of specific anaerobes could
47 reduce HIV target cell recruitment to the foreskin surface, making them less vulnerable to infection.
48 Understanding the mechanisms that underlie the benefits of male circumcision could enable researchers
49 to identify new intervention strategies for decreasing HIV transmission. Alternative strategies may be
50 particularly important in populations where HIV prevalence is high, but male circumcision goes against
51 cultural traditions.

52

53 INTRODUCTION

54 Male circumcision (MC) reduces the risk of HIV acquisition in men by 50-60% (2, 3, 17) and
55 decreases the incidence and prevalence of herpes simplex virus type 2 (HSV-2)(33) and human
56 papillomavirus (HPV) (18, 33). The impact of MC on classical bacterial STIs, such as *Chlamydia*
57 *trachomatis*, *Neisseria gonorrhoeae*, *Treponema pallidum*, and *Trichomonas vaginalis* is more
58 equivocal (16, 25, 30, 33). Women with circumcised male partners are at lower risk for STIs ranging
59 from HPV to *Trichomonas vaginalis* (16, 35). This suggests that MC reduces risk of viral STIs in men,
60 and STI transmission to their female partners (32).

61 MC is hypothesized to reduce HIV risk in men by changing the penile anatomy and by altering
62 the genital microbiology (11). With respect to the anatomic changes, MC removes the prepuce, which
63 decreases the number of available HIV target cells on the penis (11, 21). It remains unclear whether
64 decreases in viral STIs post-MC contribute to HIV risk reduction. HSV-2 infection increases the risk of
65 HIV in observational studies (6, 14), but trials aimed to reduce or control viral and classical bacterial
66 STIs have largely failed to reduce HIV transmission (19, 20). Removal of the preputial tissue also
67 eliminates the moist subpreputial environment, which can modify the genital bacterial communities (i.e.,
68 the microbiota) and may have broad impact on the genital microbiology (27).

69 Recently, genital epithelial inflammation triggered by bacterial antigens has emerged as a
70 possible factor in increasing susceptibility of genital HIV target cells (1, 10, 21). These findings suggest
71 that particular assemblages of bacteria, including those not among the classical bacterial STIs, could
72 promote genital epithelial inflammation. Thus, changes in the genital bacterial microbiota could be
73 linked to HIV acquisition.

74 Previously, we reported the impact of MC on the coronal sulcus bacteria and overall microbiota
75 composition based on results from 12 men (27). However, this study lacked controls from the non-
76 circumcision arm and relied on relative abundances. In the current study, we assessed the adjusted
77 treatment effect of MC on the genital microbiota using absolute abundance. In addition, we applied
78 novel analyses to enumerate the microbiota changes attributable to MC. We hypothesized that MC

79 would significantly decrease coronal sulcus bacterial abundance and modify the microbiota in
80 participants randomly assigned to receive MC, but not in those who remained uncircumcised. Here, we
81 report a study of penile coronal sulcus microbiota in 77 control and 79 intervention arm participants
82 from the Rakai MC randomized controlled trial in Uganda.

83

84 **RESULTS**

85 **Coronal sulcus bacteria in the uncircumcised penis at enrollment**

86 *Prevalence.* At enrollment, men from the control and intervention groups had comparable
87 coronal sulcus bacterial prevalence (Table 1). Prevalent genera in the uncircumcised state included those
88 from Prevotellaceae, Veillonellaceae, Clostridiales Family XI, Actinomycetaceae, Coriobacteriaceae,
89 and Porphyromonadaceae. Two Clostridiales OTUs without known genus (i.e., Unclassified
90 Clostridiales Family XI) or family (i.e., Unclassified Clostridiales) were also commonly detected at
91 enrollment (Table 1).

92 *Relative abundance.* Most coronal sulcus bacteria in uncircumcised men were present at
93 relatively low abundances (Table 1). *Prevotella* spp. was the most relatively abundant, followed by
94 Unclassified Clostridiales, and *Corynebacterium* spp.. Six other prevalent genera—*Peptoniphilus* spp.,
95 *Anaerococcus* spp., *Fingoldia* spp., *Murdochiella* spp., *Porphyromonas* spp., and *Lactobacillus* spp.—
96 were found at relative abundances of approximately 5%. The remaining coronal sulcus bacteria were
97 detected at lower than 1% (Table 1).

98

99 **Male circumcision reduces coronal sulcus bacterial load**

100 *Change in bacterial load.* MC significantly decreased the coronal sulcus bacterial load. At
101 enrollment, men from the control and the intervention group had similar mean bacterial loads of $1.44 \times$
102 10^5 (SD = 3.07×10^5) and 2.00×10^5 (SD = 4.81×10^5) bacterial 16S rRNA gene copies per μ l of
103 coronal sulcus swab eluent, respectively. The bacterial load decreased in both groups at year-1, with a
104 mean bacterial load of 5.66×10^4 (SD = 1.19×10^5) 16S rRNA gene copies in the uncircumcised men

105 and 3.77×10^4 (SD = 1.80×10^5) in the circumcised men. However, the decrease was statistically greater
106 after MC than in the uncircumcised controls (log response ratio $p = 0.048$) (Fig. 1).

107

108 **Male circumcision significantly altered prevalence of coronal sulcus bacteria**

109 *Changes in prevalence.* MC significantly reduced the prevalence of 15 coronal sulcus bacteria (p
110 < 0.05), of which 12 are strict anaerobes, including *Porphyromonas* spp ($\Delta\Delta$ Prevalence = -43.10%),
111 *Prevotella* spp. ($\Delta\Delta$ Prevalence = -34.21%), *Negativicoccus* spp. ($\Delta\Delta$ Prevalence = -28.95%), *Dialister*
112 spp. ($\Delta\Delta$ Prevalence = -30.18%), *Mobiluncus* spp. ($\Delta\Delta$ Prevalence = -13.69%), and six genera from
113 Clostridiales Family XI, among others (Table 2). The reductions in anaerobe prevalence due to MC were
114 often substantial. Yet, MC did not significantly reduce prevalence of all anaerobes; notably, *Atopobium*
115 spp., *Sneathia* spp., and *Megasphaera* spp. showed no significant decrease post-MC.

116 Seven coronal sulcus bacteria increased in prevalence post-MC, and among these, five also
117 increased in the uncircumcised men, suggesting either an effect of time or from participation in the trial.
118 Nevertheless, the increases were consistently higher among the circumcised men, as shown by the
119 positive $\Delta\Delta$ Prevalence (Table 2). The aerobic *Kocuria* spp. and the facultative anaerobic *Facklamia* spp.
120 only increased in the circumcised men. MC also increased the prevalence of other coronal sulcus
121 bacteria that were uncommon in the uncircumcised penis (Table S1).

122

123 **Male circumcision modified coronal sulcus microbiota biodiversity and composition.**

124 *Microbiota biodiversity.* MC reduced evenness of the microbiota significantly, reflecting the
125 shift from multiple to few dominant bacteria post-circumcision (E treatment effect = -0.053, 95% CI = -
126 0.101 to -0.005). MC also decreased diversity of the microbiota significantly (D treatment effect = -1.26,
127 95% CI = -2.04 to -0.52).

128 *Microbiota composition.* MC significantly modified the coronal sulcus microbiota's overall
129 composition, altering absolute abundances of microbiota constituents and increasing microbiota
130 homogeneity (Fig 2A-B). The microbiota changes was more marked in the circumcised men

131 (PerMANOVA F -statistic = 13.1, $p < 0.001$) (Fig. 2A, Fig. S1) than in the uncircumcised men over time
132 (PerMANOVA F -statistic = 3.1, $p = 0.02$) (Fig. 2B, Fig. S1).

133

134 **Circumcision significantly reduced previously abundant coronal sulcus bacteria.**

135 *MC effect size.* To quantify the impact of MC on coronal sulcus bacteria, we determined the MC
136 effect size for genera that significantly decreased or increased after MC (Table 3). *Prevotella* spp.,
137 *Porphyromonas* spp., *Fusobacterium* spp., *Fingoldia* spp., and *Peptostreptococcus* spp. decreased in
138 both prevalence and absolute abundance. For these, the mean MC effect size ranged from -1,157 to -
139 25,327 16S rRNA gene (Table 3). The remaining genera decreased significantly either in prevalence (n
140 = 8) or absolute abundance (n = 2). Several other negative responders had substantial decreases in many
141 but not all circumcised men, including Unclassified Clostridiales, *Peptoniphilus* spp., and *Murdochiella*
142 spp, and due to the high-level of inter-individual variability, effect sizes were not statistically significant
143 (Table 3).

144 In contrast, the positive responders showed a smaller but statistically significant MC effect size.
145 On average, *Corynebacterium* spp. increased by 2,860 and *Staphylococcus* spp. by 249 16S rRNA gene
146 copies per individual (Table 3). The third highest mean MC effect size was seen in *Helcococcus* spp. As
147 *Helcococcus* spp. belonged to Clostridiales Family XI, its response contrasts with the broadly negative
148 impact of MC on other Clostridiales Family XI members. Overall, the relatively larger MC effect sizes
149 in negative responders indicate that MC primarily reduced previously abundant coronal sulcus bacteria,
150 accompanied by minor abundance gains in other bacteria. Overall, the larger MC effect sizes in negative
151 responders indicate that MC primarily reduced previously abundant coronal sulcus bacteria,
152 accompanied by minor abundance gains in other bacteria.

153

154 **DISCUSSION**

155 In a randomized trial of MC, we showed that MC significantly reduced bacterial load, both by
156 reducing prevalence and absolute abundance of many coronal sulcus bacteria. We found that the two

157 study groups had comparable coronal sulcus microbiota, which consisted of multiple microbiota types.
158 Overall, MC alters the composition of the microbial community and reduces microbial biodiversity.
159 Although we find that the microbiota changed over time in uncircumcised participants as well, the
160 effects of MC on load, abundance, composition, and diversity were statistically significant and far
161 greater.

162 The role of coronal sulcus bacteria in heterosexual HIV acquisition is unknown; however, recent
163 studies have suggested that the non-STI genital bacteria may affect the susceptibility of foreskin HIV
164 target cells (9, 26). Of the HIV target cell types found in the foreskin, Langerhans cells (LCs)—have
165 been hypothesized to play a key role in mediating HIV infection (9). Proximally located to the epithelial
166 surface, naïve, LCs bind, internalize, and degrade HIV particles; however, when activated by high HIV
167 load, active STIs, or bacteria-associated inflammatory mediators such as lipopolysaccharide (LPS) and
168 tumor necrosis factor alpha (TNF- α), LCs bind and present HIV particles to CD4+ T-cells (9, 10).

169 The natural dynamics of the circumcised coronal sulcus microbiota is unknown. However, our
170 findings based on a sampling performed one year post-circumcision are likely to represent a persistent
171 change. Our use of novel analysis metrics, such as the log response ratio and MC effect size permitted
172 adjustment for the impact of time and participation in the trial, which allowed us to quantify microbiota
173 changes attributable solely to MC.

174 MC has been associated with reduction of BV in female sexual partners, but the sharing of
175 genital microbiota between sexual partners is not well understood (13, 16). In this study, we show that a
176 subset of bacteria associated with BV decreased after MC, including *Prevotella spp.*, *Fusobacterium*
177 *spp.*, and *Mobiluncus spp.*, while others such as *Gardnerella spp.*, *Sneathia spp.*, *Actinomyces spp.*,
178 *Atopobium spp.*, *Megasphaera spp.*, and *Veillonella spp.* were not altered significantly.

179 MC selected for bacteria capable of surviving in the circumcised coronal sulcus
180 microenvironment. At enrollment, we find multiple microbiota types and diverse coronal sulcus bacteria
181 in both study groups. However, nearly all of the bacteria that decreased after MC were strict anaerobes,
182 except for *Actinomyces spp.* and *Arcanobacterium spp.*, which were facultative anaerobes. We also show

183 that *Helcococcus* spp. was a facultative anaerobe and the single positive responder to MC in
184 Clostridiales Family XI(8). The large competitive advantage of a single genus from a previously diverse
185 and abundant bacterial family illustrates the strong and functionally cohesive selective pressure exerted
186 by MC through changes to the coronal sulcus microenvironment.

187 One of the largest positive responders to MC reported in this study was *Staphylococcus* spp.
188 Although we did not attempt to perform species-level analysis in the current study, additional analysis
189 showed that the most abundant *Staphylococcus* in the post-MC coronal sulcus include *S. haemolyticus*,
190 *S. hominis*, *S. epidermidis*, *S. xylosus*, and their genetic near neighbors. However, it is important to note
191 that *S. aureus* and *S. epidermidis* are common commensals on exposed human epithelial and mucosal
192 surfaces. Thus, their increase post-MC is unlikely to significantly affect the microbiota's pathogenic
193 potential.

194 In the current study, we integrated culture-independent bacterial identification, an ecological
195 analytical framework, and a randomized-controlled study design to reveal the impact of MC on the penis
196 microbiome. Combining bacterial quantification with parallel sequencing allowed us to depart from
197 simple relative abundance and, instead, evaluate the impacts of MC in terms of absolute bacterial
198 abundance. Through this lens, we showed that circumcision resulted in significant decreases in the
199 absolute abundance of several anaerobic bacterial taxa that defined the uncircumcised penis
200 microbiome. Currently, we know little about the role of these fastidious anaerobes in the male urogenital
201 tract, or the broader context of human health. Future studies are required to determine if decreased
202 anaerobic bacterial load modifies foreskin inflammation and HIV target cell susceptibility, but these
203 changes may play a critical role in the HIV risk reduction conferred by MC.

204

205 **MATERIALS AND METHODS**

206 **Study Design and Subjects.** We conducted a randomized trial of MC for HIV prevention in 2004-2005
207 % (17). In this study, HIV-negative, uncircumcised men aged 15–49 were randomized to either
208 immediate circumcision (intervention group) or to circumcision delayed for 24 months (control group)

209 as described previously (17, 33). Study participants were provided access to regular reproductive health
210 services and followed at 6, 12 and 24 months to assess HIV and sexually transmitted infection (STI)
211 acquisition. At each visit, clinicians collected penile swabs from the coronal sulcus as follows: Dacron
212 swabs were pre-moistened with sterile saline, and rolled over the coronal sulcus twice in a non-traumatic
213 fashion. The swabs were immediately placed in the AMPLICOR specimen transport medium (Roche
214 Diagnostics, Indianapolis, IN) and stored at -80°C until analysis. The study was approved by four
215 institutional review boards: the Science and Ethics Committee of the Uganda Virus Research Institute
216 (Entebbe, Uganda), the HIV subcommittee of the National Council for Science and Technology
217 (Kampala, Uganda), the Committee for Human Research at Johns Hopkins University's Bloomberg
218 School of Public Health (Baltimore, MD), and the Western Institutional Review Board (Olympia, WA).

219

220 **Sample processing.** We analyzed enrollment and year-1 swabs from 77 control and 79 intervention
221 participants, selected at random from among all married men in the trial. We processed samples from
222 each participant in the same batch to control for inter-run variation. For each sample, we lysed 100 µl of
223 eluted transport medium using enzyme-free chemical and mechanical lysis. We purified the lysate using
224 Qiagen AllPrep DNA/RNA Mini Kit (Qiagen, Valencia, CA, USA) and performed DNA elution using
225 100 µl of Buffer EB. Additional methodological details can be found in the Supplementary File.

226

227 **Bacterial load quantification and 16S rRNA gene-based pyrosequencing analysis.** We quantified
228 the bacterial load, measured as the bacterial 16S rRNA gene copy per µl of coronal sulcus swab eluent
229 using a broad-coverage qPCR assay (24). We also generated barcoded V3-V6 amplicons using broad-
230 coverage fusion PCR primers, which were pooled and sequenced on the Genome Sequencer FLX
231 (Roche Applied Sciences, Branford, USA). Resultant pyrosequences were chimera-checked(12),
232 demultiplexed, and quality-checked (5). We performed taxonomic classification using the Ribosomal
233 Database Project Naïve Bayesian Classifier (RDP Release 10, Update 28) (7). Detailed description of
234 the bioinformatics analyses can be found in the Supplementary File.

235 After stringent filtering, pyrosequencing yielded a total of 104,425 16S rRNA gene sequences
236 from control men at enrollment and 90,560 at year-1; for the intervention group, there were 88,834 16S
237 rRNA gene sequences at enrollment and 66,265 at year-1. These sequences represented 18 phyla, 31
238 classes, 49 orders, and 121 families, and 306 genera at $\geq 80\%$ bootstrap confidence level after excluding
239 taxonomic groups with only a single sequence detected from the full sample set. For Clostridiales and
240 Clostridiales Family XI, many sequences could not be further classified at $\geq 80\%$ bootstrap confidence
241 level. These were included in the dataset as Unclassified Clostridiales and Unclassified Clostridiales
242 Family XI, respectively.

243
244 **Bacterial load comparison.** We expressed the bacterial load change in each participant over time as a
245 log response ratio (LRR) using: $\ln [(bacterial\ load\ at\ year-1)/(bacterial\ load\ at\ baseline)]$ [Eq. 1] (23).
246 LRR quartiles and means of participants from each group were plotted in R version 2.13.1 (31) and
247 compared used a two-tailed *t*-test with unequal variance, at $\alpha = 0.05$.

248
249 **16S rRNA gene-based microbiota comparative analysis.** We analyzed the coronal sulcus microbiota
250 based on operational taxonomic unit (OTU), i.e., the unique bacterial groups detected at each taxonomic
251 level. We converted the per-participant OTU data into four metrics: prevalence, relative abundance,
252 absolute abundance, and log-transformed absolute abundance.

253 We calculated each OTU's prevalence as: $(Total\ number\ of\ participants\ positive\ for\ the\ OTU\ in\ group\ X)/(Total\ number\ of\ participants\ in\ group\ X)$ [Eq. 2] and the relative abundance as: $(Number\ of\ sequences\ assigned\ to\ the\ OTU\ in\ participant\ A)/(Total\ number\ of\ sequences\ from\ participant\ A)$ [Eq.
254 3a]. We calculated absolute abundance using: $(Relative\ abundance\ of\ each\ OTU\ in\ participant\ A) \times$
255 $(Bacterial\ load\ in\ participant\ A)$ [Eq. 3b] and the log-transformed absolute abundance as: $\ln (Absolute$
256 $abundance + 1)$.

257
258
259 For the 40 most common genera at enrollment, we calculated the $\Delta Prevalence$ for each group.
260 We compared the change in the number of individuals positive for a given genus in each study group

261 using two-tailed paired t-test, adjusted for false-discovery. We further assessed the change in prevalence
262 between the two groups using $\Delta\Delta Prevalence = [(\Delta Prevalence_{intervention}) - (\Delta Prevalence_{control})]$ [Eq. 4].

263 We compared the change in overall microbiota composition visually based on family-level log-
264 transformed absolute abundance data using non-metric multidimensional scaling (nMDS) and Bray-
265 Curtis distance (15, 22, 34). The resultant nMDS plots were annotated with centroids and 95%
266 confidence ellipses (34). We assessed the microbiota change over time for each study group using
267 permutational multivariate analysis of variance (PerMANOVA) (34) based on the log-transformed
268 absolute abundance data in Euclidean distance.

269 We assessed the change in microbiota biodiversity in each group using two biodiversity metrics:
270 diversity (D), calculated as $D = \text{Simpson's diversity index}$, and evenness (E), calculated as $E = D/S$,
271 where $S = \text{richness}$ (29). Evenness reflects the dominance by many (i.e., high evenness) versus few (i.e.,
272 low evenness) OTUs, whereas richness is a measurement of the total number of unique OTUs present.
273 We calculated ΔE and ΔD for each individual and applied bootstrapping to generate random control-
274 intervention pairs ($i = 1000$). We estimated the mean E and D effect sizes as: $\text{Mean } E_{E.S.} = \text{Mean}$
275 $(\Delta E_{intervention\ Xi} - \Delta E_{control\ Yi})$ [Eq. 5a] and $\text{Mean } D_{E.S.} = \text{Mean } (\Delta D_{intervention\ Xi} - \Delta D_{control\ Yi})$ [Eq. 5b], as well
276 as its accompanying 95% confidence intervals.

277 We identified indicator bacterial genera impacted significantly by MC with indicator species
278 analysis using log-transformed data (28). For these indicator genera, we quantified the mean MC effect
279 sizes [Eq. 7-8] and its 90% confidence intervals. Detailed description of the statistical analyses can be
280 found in the Supplementary File.

281
282 **Literature review.** We performed literature review on the oxygen tolerance of the 40 most common
283 genera in the uncircumcised microbiota. The Bergey's Manual of Determinative Bacteriology (4) was
284 used for *Corynebacterium* spp., *Lactobacillus* spp., *Staphylococcus* spp., and *Streptococcus* spp. For
285 others, we performed a search of MEDLINE via PubMed with a cutoff date of April 2012 using a

286 combined term of the applicable genus name and *nov* to identify publications defining new species
287 within the genus. Detailed results from the literature review can be found in Table S2.

288

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410 **FIGURE LEGENDS**

411 **Figure 1.** Changes in mean bacterial load as measured by log response ratio, from enrollment to year-1
412 in the uncircumcised (*in red*) versus the circumcised men (*in orange*), as shown by group (*top panel*)
413 and by individual (*bottom panel*). The bacterial load, as measured by 16S rRNA gene copies, decreased
414 in more circumcised men (62/79, 78.5%) than those that remained uncircumcised (51/77, 66.2%).
415 Additionally, the decreases in bacterial load after MC were significantly greater than the decreases over
416 time among the controls (log response ratio $p = 0.048$).

417
418 **Figure 2.** nMDS plots showing changes in the coronal sulci microbiota composition in the
419 uncircumcised men (Fig. 2A) and the circumcised men (Fig. 2B) from enrollment (*in blue*) to year-1 (*in*
420 *orange*). Each data point represents an individual's microbiota at a given time point. The centroids and
421 95% confidence ellipses for each group are as shown. Microbiota in the uncircumcised men remained
422 relatively consistent over time (Fig. 2A). In contrast, the coronal sulcus microbiota shifted markedly
423 after MC, showing strong separation from the uncircumcised microbiota (Fig. 2B).